

# UN-REDD Programme Support on REDD+ Safeguards

Jerry Harrison, UNEP-WCMC

**XX** October 2014



# The UN-REDD Programme

- United Nations collaborative initiative on Reducing Emissions from Deforestation and forest Degradation in developing countries
- UNDP, UNEP, FAO
- Support to 56 countries
  - Direct support to implementation of National Programmes
  - Developing common approaches, analyses, methodologies, tools, data and best practices



## REDD+

= Reducing Emissions from Deforestation and forest Degradation

+

Conservation of forest carbon stocks  
Sustainable management of forest  
Enhancement of forest carbon stocks

# The Cancun Agreement (UNFCCC COP16, 2010)

## Cancun Safeguards

(a) Policy  
alignment  
(national &  
international)

(g) Risk of  
displacement  
of emissions

(b) Forest  
governance  
(transparency &  
effectiveness)

(f) Risk of  
reversals of  
emissions  
reductions

**The Elements of  
Cancun  
Safeguards**

(c) Knowledge and  
rights of indigenous  
peoples & local  
communities

(e) Natural forest,  
biodiversity, social  
& environmental  
benefits

(d) Full and effective  
participation of  
relevant stakeholders,  
in particular IP & local  
communities

## Safeguard (e)

- *That actions are consistent with the **conservation of natural forests and biological diversity**, ensuring that the actions referred to in paragraph 70 of this decision are not used for the conversion of natural forests, but are instead used to incentivize **the protection and conservation of natural forests and their ecosystem services**, and to enhance other **social and environmental benefits**;*

## The Cancun Agreements (COP16, 2010) Safeguards Information Systems (SIS)

Safeguards Information Systems (SIS) as one of the 4 core elements to have in place for REDD+ implementation:

1. National REDD+ strategy or action plan

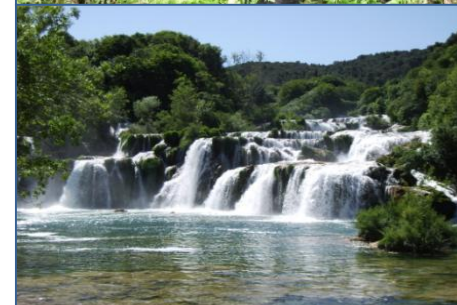
2. National forest reference emission level and/or reference level

3. National forest monitoring system

**4. SIS: System for providing information on how the REDD+ safeguards are being addressed and respected throughout the implementation of the activities**

# Objectives of UN-REDD Programme Work on Safeguards

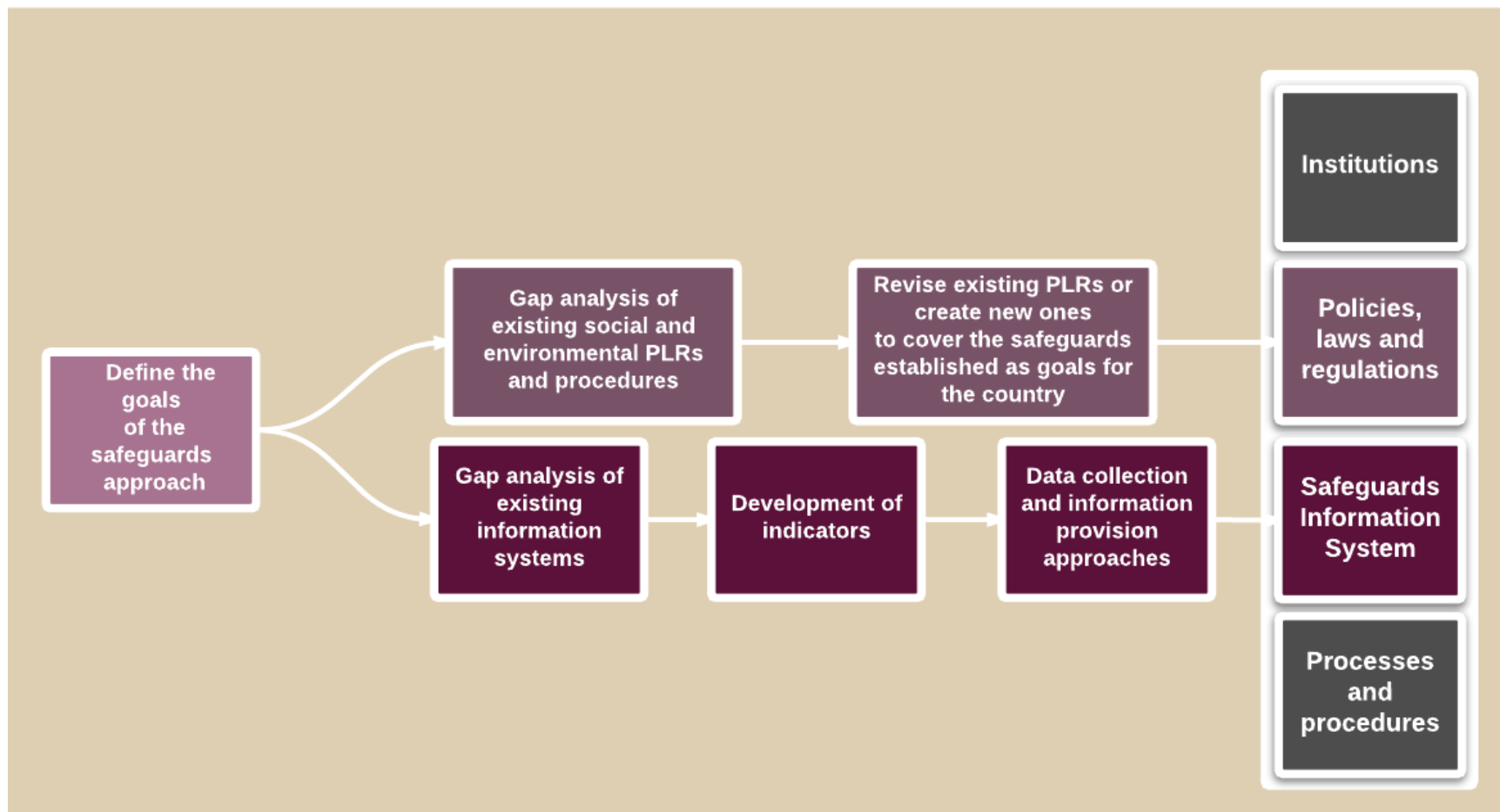
1. To support countries in developing approaches to safeguards
2. To address social and environmental issues in UN-REDD National Programmes and other UN-REDD funded activities



# Support to Countries on Safeguards

1. Provision of a framework for supporting countries on safeguards
2. Support on defining safeguards goals and policies, laws and regulations (PLRs)
  - Help countries to assess their existing policies and compare these to the UNFCCC Cancun safeguards
3. Assistance with the development and structuring of Safeguards Information Systems (SIS)
  - Help countries to assess their existing systems that may already fulfill some of the functions of a REDD+ SIS

# UN-REDD Framework for Supporting the Development of Country Approaches to Safeguards





# Development of a Country Approach to Safeguards

- No fixed, linear path to apply UNFCCC requirements on safeguards
- Taking into consideration:
  - phased approach
  - what is already in place
  - additional objectives defined by the country
- Throughout the process, effective participation will be essential



# Country Approach to Safeguards Tool (CAST)

CAST is a **process**-oriented tool designed to support countries to:

- Plan for REDD+ safeguards and SIS activities
- Identify, prioritize and sequence activities
  - **Flexible:** useful at the early planning stage or later in the process
- Identify available information resources
- Clarify how the processes under various safeguards initiatives correspond

# Structure of the CAST

- Excel-based
- Available in [English](#), [Spanish](#) and [French](#)

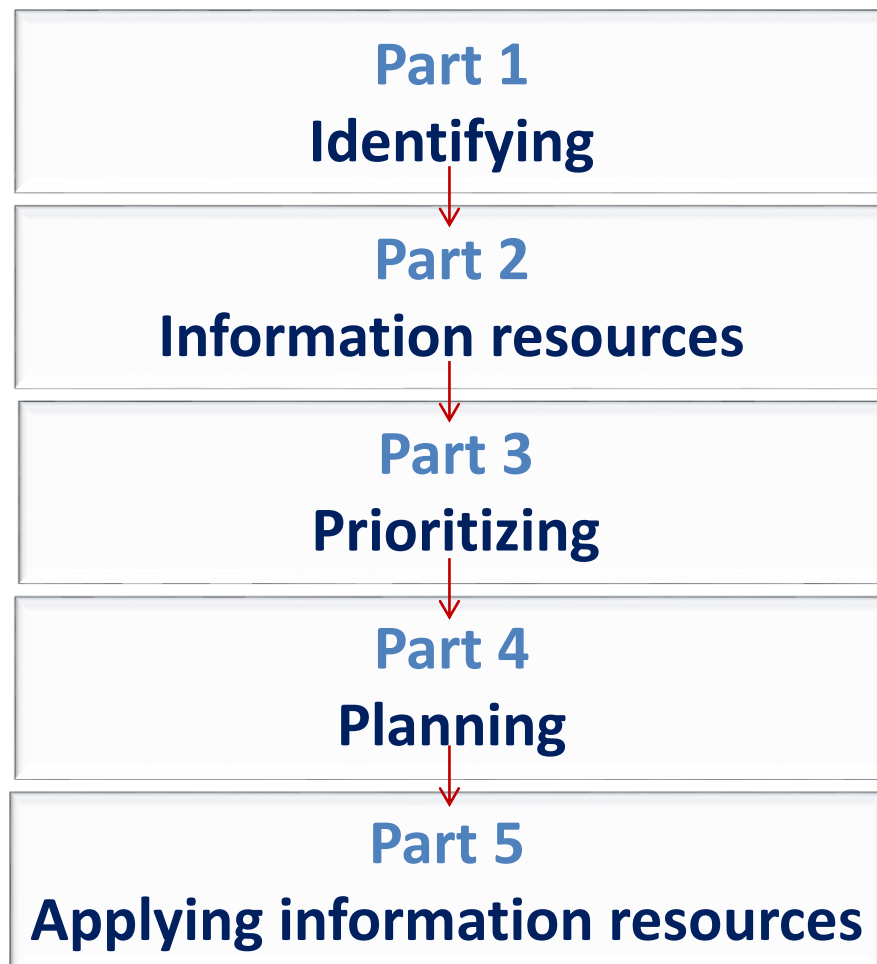
UN-REDD PROGRAMME Country Approach to Safeguards Tool (CAST)

Country: [REDACTED]

**Part 1: Identifying**

Click on the appropriate box for each question to choose the answer that most closely fits the current status in the country. If electing to add more details, the "comments" column may be used. There are guiding questions in this column to suggest the types of details that may be useful to enter. Once finished responding to all of the questions, click the "Next" icon at the bottom of the page to proceed to the Analysis.

FCPF SES	REDD+ SES		Yes <input type="radio"/>	Progress made; more work <input type="radio"/>	Not yet, but planned <input type="radio"/>	Still needs to be <input type="radio"/>	Not a priority activity <input type="radio"/>	
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	A.1 Has a stakeholder mapping process been carried out to identify REDD+ stakeholders?	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	A.1
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	A.2 Has a process to inform and engage REDD+ stakeholders been developed?	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	A.2
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	A.3 Have stakeholders been informed of the concept of REDD+ safeguards?	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	A.3
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	A.4 Have stakeholders been informed of potential social and environmental risks & benefits associated with REDD+ in the country?	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	A.4
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	A.5 Have activities been undertaken to ensure that stakeholders have the capacity to engage in the development of the country approach to safeguards?	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	A.5
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	A.6 Has a dedicated multi-stakeholder team or task force been established to lead/facilitate the development of the country approach to safeguards?	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	A.6



# Benefits and Risks Tool (BeRT)

## Supporting countries to address and respect the Cancun safeguards

BeRT helps countries to:

- 1) Identify benefits and risks associated with specific REDD+ actions, in the context of the Cancun safeguards
  - 2) Understand how the country's existing policies, laws and regulations (PLRs) address those benefits and risks
  - 3) Identify gaps in policies, laws and regulations with respect to the Cancun safeguards
- BeRT is being finalised and trialled in-country
  - Launch in November 2014
  - To enquire about trialling the tool, email [BERT@un-redd.org](mailto:BERT@un-redd.org)

# Structure of the BeRT

- Excel-based
- Organised by the Cancun safeguards
- Key issues and guiding questions support application
- Allows for the prioritization of benefits and risks
- Flexible: user can focus on given safeguards or modules

## Module 1

**Documenting REDD+ actions**



## Module 2

**Identifying benefits and risks**



## Module 3

**Identifying relevant PLRs and gaps**

Table 2: Benefits and Risks relating to Safeguard (e) - Conservation, biological diversity and enhancement of benefits

Benefits relevant to safeguard	REDD+ actions from Module 1 (not all actions may have benefits relevant to the safeguard)	Benefits	Rank of benefits	
			Probability	Impact
	e.g.: Landscape scale planning and coordination	e.g.: Planning that prioritizes the protection of natural forest halts the decline in availability of non-timber forest products.		
	2. Enhance effectiveness of protected areas		Medium	Medium
	Click here to select an action from Module 1:		Select:	Select:
	Click here to select an action from Module 1:		Select:	Select:

## Examples of UN-REDD Support to Countries on Safeguards

- Bhutan
  - Workshops on country approaches to safeguards and gap analysis of policies, laws and regulations
  - Workshop in November 2014 will apply the BeRT
- Tanzania
  - Capacity building for spatial analysis to inform REDD+ planning that takes into account the environmental safeguards
  - Using data from national forest inventories
- Costa Rica
  - Development of a Safeguards Information System (SIS)
  - Proposed methodology and indicators

# In summary

- UNFCCC guidance on safeguards and SIS
- UN-REDD Programme supports countries on
  - Defining safeguards goals, policies, laws and regulations
  - Designing Safeguards Information Systems (SIS)
- UN-REDD Programme tools
  - CAST
  - BeRT

## **Safeguards Coordination Group**

Maria Sanz Sanchez - [Maria.SanzSanchez@fao.org](mailto:Maria.SanzSanchez@fao.org)

Kimberly Todd - [kimberly.todd@undp.org](mailto:kimberly.todd@undp.org)

Lera Miles - [Lera.Miles@unep-wcmc.org](mailto:Lera.Miles@unep-wcmc.org)

# **Thank You**

