



# POTENTIAL ROLE OF SUBNATIONAL AND LOCAL ACTORS IN REL AND MRV DATA CREATION

FFPRI INTERNATIONAL TECHNICAL SEMINAR, WASEDA UNIVERSITY, TOKYO  
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Ecosystem Services



**WINROCK**  
INTERNATIONAL

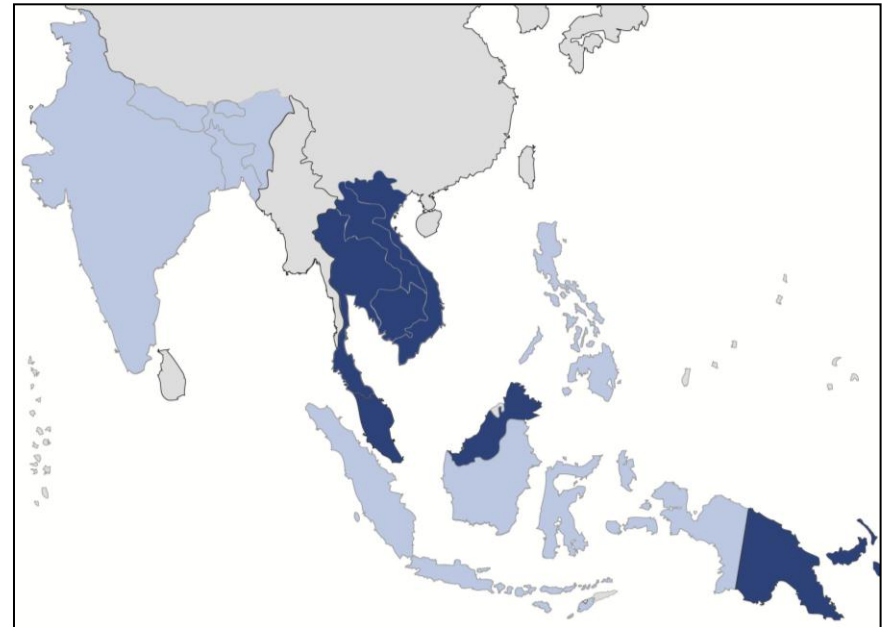
# Winrock International Ecosystem Services Team

- Winrock International: nonprofit and mission driven organization
- ECO team is led by Dr. Sandra Brown, IPCC Convening Lead-Author
- Team includes: CDM AR-WG member, VCS AFOLU Steering Com., CAR Dev. Comm,
- WI-ECO lead author on many CDM/VCS/ACR AFOLU methodologies
- Advising governments and providing technical assistance on national REDD+ RL and MRV creation
- Provide technical assistance to 10+ CDM/VCS/ACR projects



## LOWERING EMISSIONS IN ASIA'S FORESTS

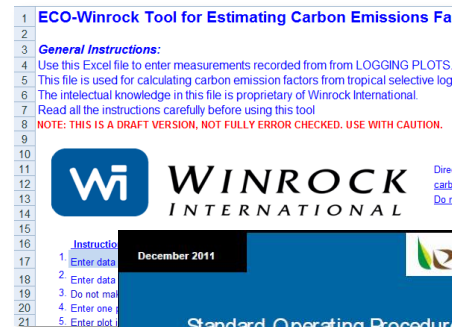
- 5 years – USAID RDMA
- *Target countries:*  
Cambodia, Laos, Vietnam,  
Thailand, Malaysia, PNG
- *Capacity Building:* on  
REDD+ preparedness and  
pilot implementation
  - REDD+ Policy Strategies
  - RL/MRV Tech Support
  - Input to integration of REDD+  
into higher education
  - REDD+ Strategy Piloting



- Pilot Actions include:
  - National Assistance:
    - Technical Support Tools and Trainings in RL and MRV Development
  - Local-level Assistance:
    - Interaction of National-Province-District in RL and MRV data creation
    - Local Gov't REDD+ Capacity Improvement
    - Pilot REDD+ Strategy Implementation – focused on improving local livelihoods through C finance

# WI - Ecosystems Carbon Toolkit

- SOPs
  - Carbon stocks
  - Logging Emissions
  - Allometric Equations
  - GIS Techniques
- Calculation Tools
  - Carbon stocks
  - Logging Emissions
  - Plot Calculator
- 2012 Peer-review process
- Additional components to be developed



**Standard Operating Procedures for the Terrestrial Carbon Measurement**  
Sarah Walker, Timothy Pearson, Felipe Casarim, Nancy Harris, Sean Grimland, Silvia Petrova and Sandra Brown

**Plot Data**

Plot ID	
Location	
GPS Waypoint	
Slope (%)	
Land cover type	
Date	
Data Recorded by	
# of people in team	
Team Leader	
Relevant notes (if any)	

Start time:  End time:  Total time (minutes):

**Nearest Plot Dimensions (m<sup>2</sup>)**

Small	Plot Shape	Radius (m)	Circle	Radius (m)	Length (m)	Width (m)	Area (m <sup>2</sup> )
Medium	Plot Shape	Radius (m)	Circle	Radius (m)	Length (m)	Width (m)	Area (m <sup>2</sup> )
Large	Plot Shape	Radius (m)	Circle	Radius (m)	Length (m)	Width (m)	Area (m <sup>2</sup> )

**Nearest Plot Tree Diameter size classes (cm)**

Small	Medium	Large
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**Carbon Pool Totals**

Plot ID	Carbon (t C/ha)	Area of largest nest
24	-	XXXX
25	-	XXXX
26	-	XXXX
27	-	XXXX
28	-	XXXX
29	-	XXXX
30	-	XXXX
31	-	XXXX
32	-	XXXX
33	-	XXXX
34	-	XXXX
35	-	XXXX

**Tree Plot, > 5 cm DBH**

Type in AG Biomass equation used. Go to Column (39) (DBH AND) column A465 A470 and replace equation with one used.

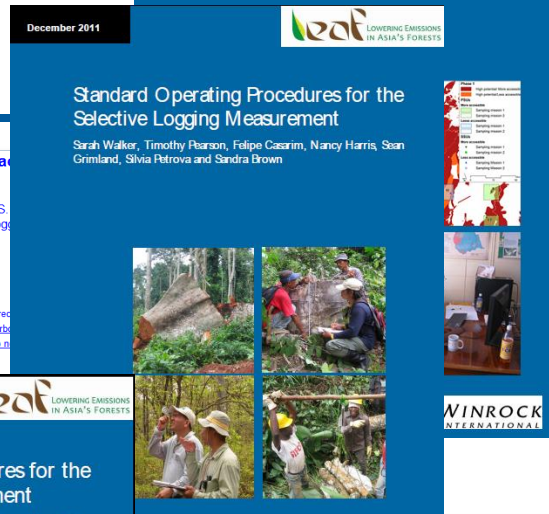
Chave et al 2005:  $AGE = \text{wood density}(\text{g/cm}^3) \times \pi \times \left( \frac{DBH}{2} \right)^2 \times 0.000001 \times 3.14159 \times 2.18 \ln(DBH) + 0.207 \ln(DBH) + 2 - 0.028 \ln(DBH) + 3$

Use radius provided to Wood Density tab. Be sure to include number correctly.


Take from Wood Density tab.

Plot ID	Tree #	Species Code	Common name	Scientific name	DBH (cm)	Size of nest (m <sup>2</sup> )	Scaling factor	Scaling factor	Wood Density	Biomass
36										
37										
38										
39										
40										
41										
42										

GIS Techniques for Carbon Projects  
Standard Operating Procedures  
Silvia Petrova, Mike Netzer, and Sarah Walker



# Decision Support Tool for Developing RL/REL

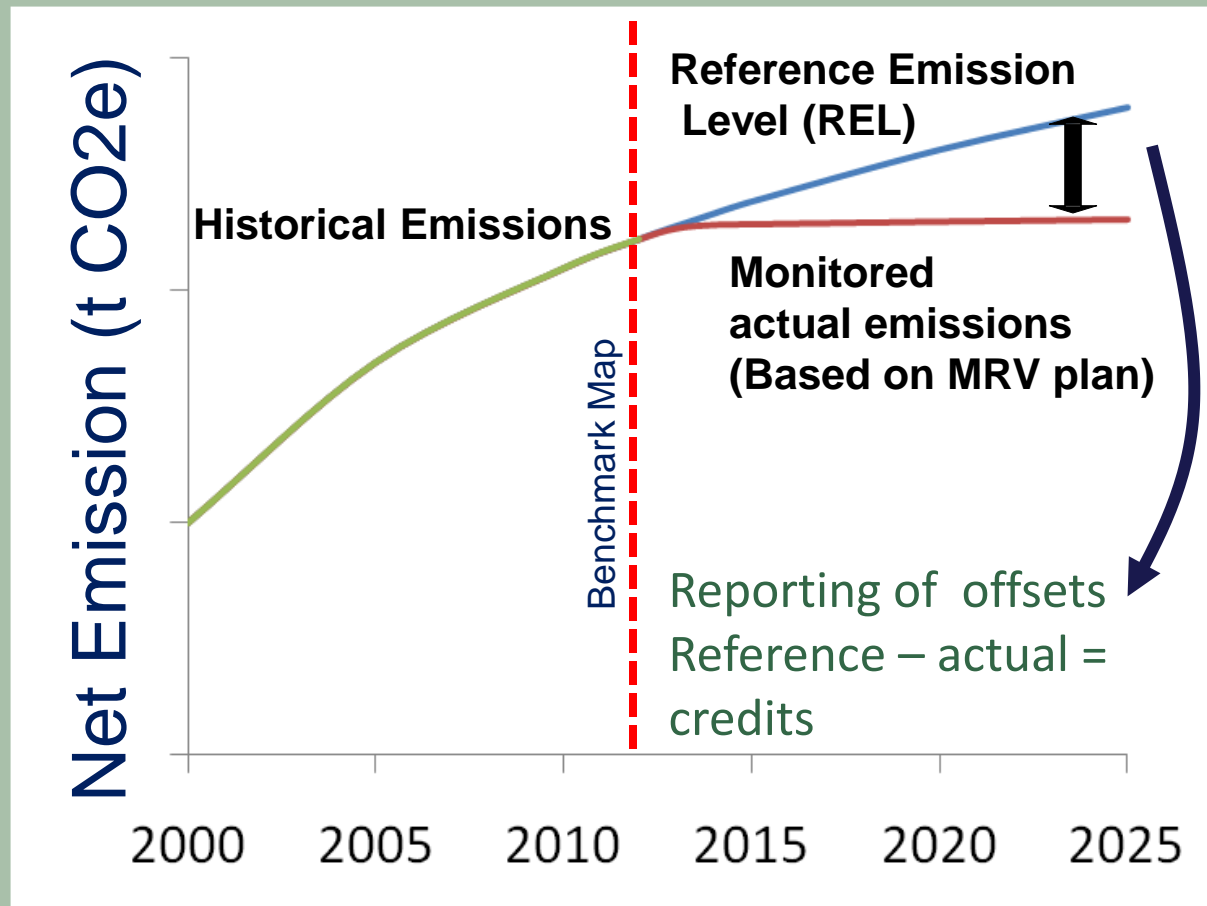
- Funded by World Bank - FCPF
- Provides main steps in RL creation and guidance on key decisions, providing advantages/disadvan.
- Hopefully: Under  will expand guidance



# Decision Support Tool for Developing RL/REL

- Guidance provided on topics such as:
- Activities to include (Def, Deg, Enhan)
- Defining Forest Definition
- Interactions of National / Jurisd / Project Scale
- Potential linkages of REDD+ to new/existing National Forest Inventory?
- RL adjustments for national circumstances
- Requirements for deforestation location projection in RL creation and MRV

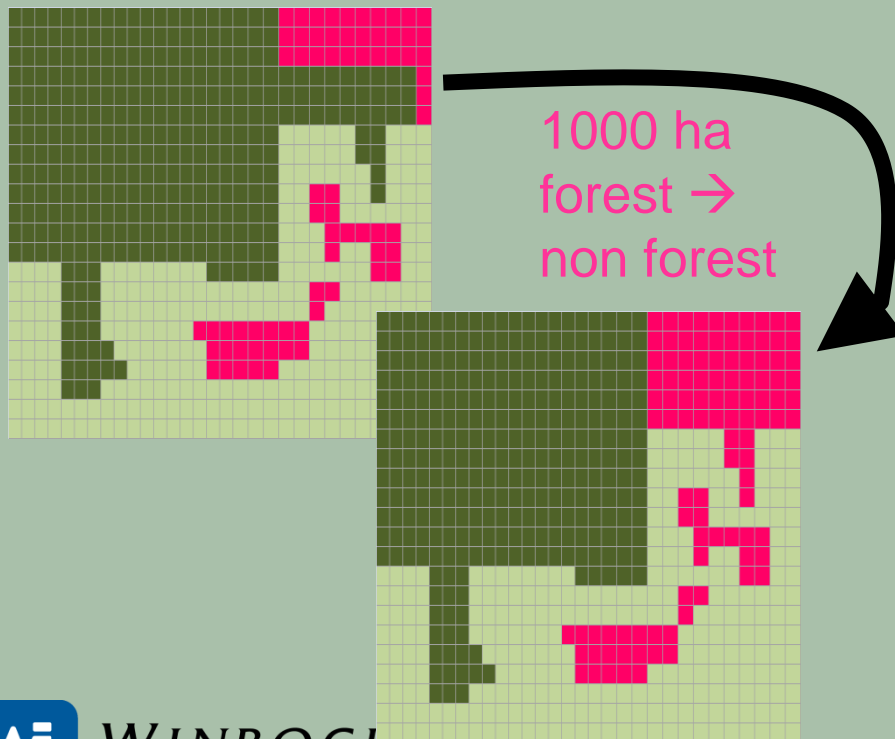
# REDD+ Crediting based on: difference between reference level and actual emissions





# Basic Historic Emissions and MRV Inputs

**Activity Data:** Which changes occurred?  
Where? How much?



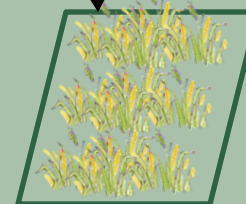
**Emission/Removal**

**Factors:** How much carbon was emitted/removed per unit of activity data?



Intact Forest  
Type

**500 t CO<sub>2</sub> /  
ha** **Net Emissions:**  
**495 t CO<sub>2</sub> / ha**



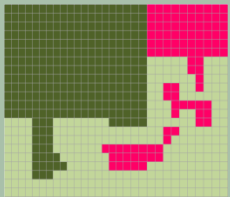
Cropland

**5 t CO<sub>2</sub> / ha**

# Historic/future emission from LU Change

Activity  
Data:

Area  
of change



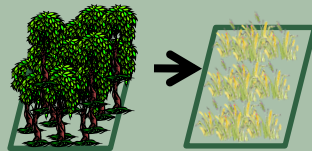
1000 ha

\*

\*

Emission  
Factor:

Emissions  
unit of  
change



495 t  
CO<sub>2</sub>/ha

=

=

Net Emissions from  
Land Cover Type

495,000 t CO<sub>2</sub>

# RL – MRV Development

## Technical Decisions

- Historical + MRV: rules / methods must be consistent
  - Pools measured, activities included, emission factors used
- If data sources change/vary, methods for integration/harmonization must be created

# Role of Subnational Projects and Local Actors: Need for Definition

- Pilot Activities taking place
  - Jurisdictions, NGOs, voluntary projects
  - High potential for involvement w/ local populations
- Pilot actions need guidance on procedures to institute to support integration into future national system

# National – Subnational Harmonization

- Rules, regulations, standards
- Methods for disaggregating at subnat.
- REDD+ Activities accounted for
- Historical period, basemap year
- Forest definition
- Land cover classification system
- Stratification system
- Carbon pools
- Activity Data Classes
- Emission Factors

# Recommendation:

## Develop Interim Guidance

- National-level gov't → interim guidance
- Roles / requirements for interaction
- Endorsement / approval process
- Additionality Requirements
- Leakage – spatial analysis and spatial extent?
- Social and Enviro safeguards/requirements
- Benefit Distribution requirements?

# Recommendation: Develop Interim Guidance

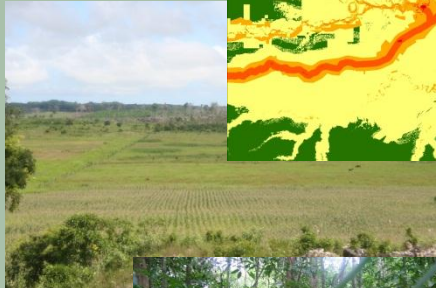
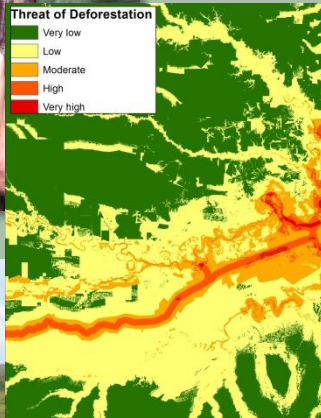
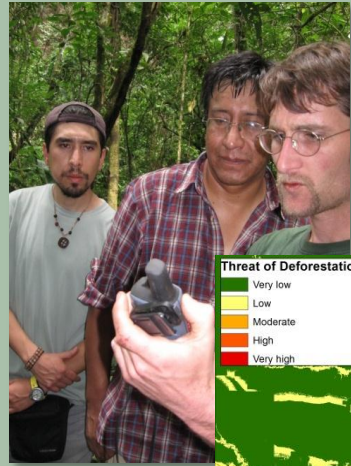
- Methodological issues
  - Recognize specific international methods?
  - If project uses diff method from National → rules to demonstrate how to harmonize
  - Data types allowed
  - Accuracy/precision requirements
  - Spatial and temporal projection methods allowed
  - Database/Documentation requirements





# Activity Data

## Examples of Nesting Decisions



- Is it allowable to include different Activities at different scales?
- Land Use Classes
  - If project/jurisdiction created field-validated maps using different methods from national – how will these be integrated? Who will do this?
  - Can jurisdiction disaggregate classes? Consistency between jurisdictions?
  - Field validation points
    - Government led?
    - 'Projects' provide inputs?
    - Community-based measurements allowed?

# Pilot – Potential Inputs

- Additional financial + technical resources for completion of data
- Pilot
  - RL/MRV creation
  - Nesting options
  - REDD+ Strategy implementation
  - Benefit Distribution Systems
  - Role of local actors
  - Database management system

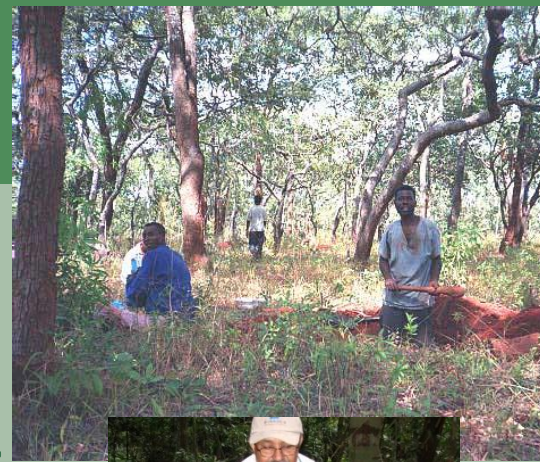




# Involvement of Local Actors

## RL and MRV Creation

- Removes 'magic' around 'carbon'
- Increases sense of ownership of process and activities
- Actors gain understanding of how their activities impact emissions/ removals
- Integral to developing appropriate monitoring indicators
- Proven to increase impact of activities
- Appropriate results-based compensation mechanism must be developed
- Cost/benefit analysis of involving local actors recommended for each component



# Activity Data – Potential Local Input

- Emission Driver analysis
  - Field surveys
    - Extension officers and/or local organizations
- Field Validation of land cover maps
  - GPS derived – higher accuracy
  - Mobile phone derived – accuracy lower, but allows more points
  - Implement straightforward and objective standard operating procedures
- Non-spatial historical activity data – examples:
  - Population
  - Timber production rates
  - Firewood/charcoal production rates
  - Fertilizer used

# Emission Factors – Potential Local Input

- Conduct Field Measurements
  - EF creation
  - Monitoring
- SOPs – Local by Design
  - Range of formal education and literacy levels
  - Tasks grouped by education
  - Guidelines limit subjective estimation
  - Limited level of training needed for meaningful contribution!



## Standard Operating Procedures for the Terrestrial Carbon Measurement

Sarah M Walker, Timothy Pearson, Felipe Casarim, Nancy Harris, Sean Grimland, Silvia Petrova and Sandra Brown  
December 2011



# Winrock Carbon Toolkit

- Calculation Tools:
  - Plot calculator
  - Carbon stock calculator
  - Logging emission factors
  - Destructive sampling
- Allows data analysis to be conducted using standard approach
- Requires only limited staff to understand data calculation methods to high level

**1 ECO-Winrock Tool for Estimating Carbon Emissions Factors from Selective Logging**

**2 General Instructions:**

3 Use this Excel file to enter measurements recorded from from LOGGING PLOTS.

4 This file is used for calculating carbon emission factors from tropical selective logging practices.

5 The intellectual knowledge in this file is proprietary of Winrock International.

6 Read all the instructions carefully before using this tool

7 **NOTE: THIS IS A DRAFT VERSION, NOT FULLY ERROR CHECKED. USE WITH CAUTION.**

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Direct any questions to: [carbonservices@winrock.org](mailto:carbonservices@winrock.org)  
Do not distribute this file without prior approval of a Winrock Int

**Instructions:**

1 Enter data only in BLUE CELLS.

2 Enter data for each Concession into a separate excel worksheet. A separate file should be created for each Concession.

3 Do not make any changes to this spreadsheet without consulting a member of the ECO-Winrock team ([carbonservices@winrock.org](mailto:carbonservices@winrock.org)).

4 Enter one plot at a time until all worksheets related to the plot are completed. Make sure measurement units and column heading units are correct.

5 Enter plot information accordingly in all worksheets.

**Plot Data**

Plot ID:

Location:

GPS waypoints:

Slope (°):

Land cover type:

Date:

Data Recorded by:

# of people in team:

Team Leader:

Relevant note (if any):

Start time:  End time:  Total time (minutes):

Nested Plot Dimensions (m<sup>2</sup>):

Small: Plot Shape:  Radius (m):  Length (m):  Width (m):  Area (m<sup>2</sup>):

Medium:  Radius (m):  Length (m):  Width (m):  Area (m<sup>2</sup>):

Large:  Radius (m):  Length (m):  Width (m):  Area (m<sup>2</sup>):

Nested Plot Tree Diameter size classes (cm):

Small:  Medium:  Large:

**Carbon Pool Totals**

Plot ID:  Carbon (t C/ha):  Area of largest nest:

Trees > 5 cm (t C/ha):

Saplings (t C/ha):

Bamboo (t C/ha):

Standing Dead Wood (t C/ha):

Lying Dead Wood (t C/ha):

Soil:  BD (g/cm<sup>3</sup>):  BD (g/cm<sup>3</sup>):  % C:

**Tree Plot > 5 cm DBH**

Type 1: AC Biomass equation used. Go to Column (B3) (DBH) column AA303 and replace equation with one used

Chave et al 2005 AGB = wood density (g/cm<sup>3</sup>) \* exp(1.499 + 2.149 ln(DBH)) + 0.207 ln(DBH)<sup>2</sup> - 0.029 ln(DBH)<sup>3</sup>

Unpublished Wood Density table. Do not update without consulting Winrock.

Take from Wood Density table

Plot ID	Tree #	Species Code	Common name	Scientific name	DBH (cm)	Size of nest (m <sup>3</sup> )	Scaling factor	Scaling factor	Wood Density	Biomass (kg)	Biomass (t/ha)
										VALID	VALID
										VALID	VALID
										VALID	VALID
										VALID	VALID

**Disclaimer of current equations:**  
Biomass equation: Chave et al 2005  
Chave et al 2005 AGB = wood density (g/cm<sup>3</sup>) \* exp(1.499 + 2.149 ln(DBH)) + 0.207 ln(DBH)<sup>2</sup> - 0.029 ln(DBH)<sup>3</sup>  
Wood Density: Wood Density table. Do not update without consulting Winrock.



# Participatory Monitoring

- Existing Tools for Part. Monitoring are being adapted for REDD+
- New technologies (smart phones) Options
- Alerts of activities
  - Alerts used to initiate monitoring of activity in a location
  - Alert used to attempt to stop activity
  - Ex: MOABI project - NORAD / WWF/ OSFAC/WRI project
- Monitor implementation of REDD+ Strategies
  - Monitoring involvement of community members in strategies – eg – use of improved cookstove, fertilizer, farming technique



# Participatory Monitoring

- Monitoring of ‘activity data’
  - Area of deforestation
  - Trees planted / area planted
  - Trees logged
  - Firewood/charcoal created
- Updating of Emission Factors
- Estimation of Emissions/Removals
- Examples:
  - USAID – Winrock –  - PES project in Vietnam
  - Plan Vivo – Voluntary market standard
    - Community organizations created
    - Members conducted regular monitoring of all participating farmers
    - Results-based payment mechanism used

- Pilot participatory mon. project
  - Start in Laos + Vietnam
  - Provincial REL input
  - District MRV through part. mon.
  - REDD+ Strategy: integrated land use planning
  - Results-based Benefit Distribution System





**THANK YOU!**  
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